

## **Complete Privacy Policy**



#### **ISBN**



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The document must be attributed as the Australian Government Department of Education, Skills and Employment Complete Privacy Policy.

## **Contents**

1.	Intro	oduc	tion	5
	1.1.	Wh	o should read this privacy policy?	5
	1.2.	Pur	pose of this privacy policy	6
	1.3.	Priv	acy Act 1988	7
	1.4.	Info	rmation covered under this privacy policy	7
2.	Our	Pers	onal Information Handling Practices	7
	2.1.	Coll	ection of personal information	7
	2.2.	Тур	es of personal information collected by us	9
	2.3.	Tax	File Numbers	. 10
	2.3.	1.	Purpose of collection	. 10
	2.3.	2.	Prohibitions and penalties	. 10
	2.3.3	3.	Further information	.12
	2.4.	Coll	ection of sensitive information	. 12
	2.5.	Coll	ecting personal information from children and young people	. 12
	2.6.	Coll	ection of unsolicited information	. 13
	2.7.	Hov	v we collect personal information	. 13
	2.8.	Ren	naining anonymous or using a pseudonym	. 14
	2.9.	Info	rmation collected by our contractors	. 14
	2.10.	Stor	age and data security	. 14
	2.10	).1.	Storage	. 14
	2.10	).2.	Data security	. 14
	2.11.	Data	a quality	. 15
	2.12.	Pur	poses for which information is collected, held, used and disclosed	. 15
	2.13.	Our	website	. 16
	2.13	3.1.	Passive collection	. 16
	2.13	3.2.	Active collection	. 17
	2.13	3.3.	Links to External Websites and Social Networking Services	. 18
	2.13	3.4.	Electronic communication	. 18
	2.14. service		closure of personal information to other Commonwealth agencies providing the department	. 18

	2.15.	Disc	closure of personal information to Services Australia	18
	2.16.	Disc	closure of personal information overseas	19
	2.16	5.1.	Mailchimp	20
	2.16	5.2.	SurveyMonkey	21
	2.17.	Una	authorised access, use or disclosure of personal information	22
3.	Acce	essin	g and Correcting Your Personal Information	22
	3.1.	Hov	v to seek access to and correction of personal information	22
	3.2.	Our	access and correction process	22
	3.3.	If yo	ou are unsatisfied with our response	23
4.	Priv	acy I	mpact Assessments	23
	4.1.	Wh	at is a Privacy Impact Assessment	23
	4.2.	Wh	en we conduct Privacy Impact Assessments	23
5.	Con	ıplai	nts	24
	5.1.	Hov	v to make a complaint	24
	5.2.	Our	complaint handling process	24
	5.3.	If yo	ou are unsatisfied with our response	24
6.	Con	tact	Us	25
	6.1.	Ger	neral enquiries, complaints, requests for access or correction	25
	6.2.	Ava	ilability of this privacy policy	25
7.	Priv	acy I	Policy Updates	25
Aı	nnex A:	Pro	hibitions and penalties relating to the collection, recording, use and disclosure	of
Τá	x File N	luml	oers	26

### 1. Introduction

The Department of Education, Skills and Employment ('the department', 'we' or 'us') works to ensure Australians can experience the wellbeing and economic benefits that quality education, skills and employment provide. The department's strategic priorities include:

- ensuring quality, affordable and accessible early education and care for families;
- improving schooling outcomes for children;
- preparing our future workforce through globally competitive tertiary education, training and research sectors;
- helping people find and keep a job, create their own job, change jobs, reskill and enjoy fulfilling careers; and
- developing a strong evidence base for effective policy that reflects and understands the varied needs of the Australian population, business and industry.

More information is available on the Department of Education, Skills and Employment website.

## 1.1. Who should read this privacy policy?

You should read this privacy policy if you are:

- a student;
- an apprentice;
- an employer;
- a parent or guardian;
- a child care service provider;
- a registered training organisation;
- a principal or teacher;
- an academic or researcher;
- a job seeker;
- an employment services provider;
- a participant in a program or service delivered by us;
- a contractor, grant recipient, consultant, or supplier of goods or services to us;
- an applicant for a grant or a tenderer for a contract provided by us;
- a policy stakeholder who works with us;
- a person whose information may be given to us by a third party, including other Australian
   Government agencies;

- an entrant in a competition conducted by us;
- a current or past employee;
- a person seeking employment with us; or
- any other individual whose personal information we may collect, hold, use and disclose from time to time.

## 1.2. Purpose of this privacy policy

The purpose of this privacy policy is to:

- describe the types of personal information that we collect, hold, use and disclose;
- outline our personal information handling practices;
- explain our authority to collect your personal information, why it may be held by us, how it is used and how it is protected;
- notify whether we are likely to disclose personal information to overseas recipients and, if possible, to whom;
- provide information on how you can access your personal information, correct it if necessary and complain if you believe it has been wrongly collected or inappropriately handled.

This privacy policy has been developed to follow the 'layered policy' format, which means that it offers layers of greater or lesser detail so people can read as much as they wish and find what they need fast.

For a snapshot of our personal information handling practices, please go to the <u>Condensed Privacy Policy</u><sup>1</sup>. This offers an easy to understand summary of:

- how we collect, use, disclose and store your personal information; and
- how you can contact us if you want to access or correct personal information we hold about you.

Full details of these practices are contained in this document.

<sup>&</sup>lt;sup>1</sup> https://www.dese.gov.au/using-site/privacy

## 1.3. *Privacy Act 1988*

The department, including its employees, contractors and agents, is subject to the Privacy Act 1988<sup>2</sup> (Cth) (the Privacy Act) and to the requirements of the Australian Privacy Principles (APPs) contained in Schedule 1 of the Privacy Act.

The APPs regulate how federal public sector agencies and certain private sector organisations can collect, hold, use and disclose personal information and how you can access and correct that information.

The APPs only apply to information about living individuals, not information about corporate entities such as businesses, firms or trusts. Detailed information and guidance about the APPs can be found on the Office of the <u>Australian Information Commissioner</u><sup>3</sup> website.

## 1.4. Information covered under this privacy policy

This privacy policy has been developed in accordance with Australian Privacy Principle 1 and embodies our commitment to protecting the personal information we collect, hold, use and disclose.

This privacy policy is not intended to cover our handling of commercially sensitive information or other information that is not defined in the Privacy Act as personal information.

'Personal information' means any information (or an opinion) about an identified individual or an individual who is reasonably identifiable, whether true or not and whether recorded in a material form or not.4

'Sensitive information' is a subset of personal information and includes information about your health, genetics, biometrics or disability; racial or ethnic origin; religious, political or philosophical beliefs; professional association or trade union memberships, sexuality; or criminal record.<sup>5</sup> Additional requirements apply to the collection and handling of sensitive information.

#### 2. **Our Personal Information Handling Practices**

## **Collection of personal information**

Personal information may be collected directly by us, or by people or organisations acting on our behalf (e.g. contracted service providers). It may be collected directly from you, or on your behalf from a representative you have authorised.

<sup>&</sup>lt;sup>2</sup> www.comlaw.gov.au/Series/C2004A03712

<sup>&</sup>lt;sup>3</sup> www.oaic.gov.au

<sup>&</sup>lt;sup>4</sup> See section 6 of the *Privacy Act 1988* (Cth) and the APP Guidelines issued by the Office of the Australian Information Commissioner.

<sup>&</sup>lt;sup>5</sup> As above.

We may also obtain personal information collected by other Australian Government agencies, state or territory governments, other third parties, or from publicly available sources. This will only occur where you consent, where it is unreasonable or impractical to collect the information only from you or where we are required or authorised to do so by law.

We are also authorised to collect personal information (which may include sensitive information) under a range of Acts that we administer, including but not limited to:

- <u> A New Tax System (Family Assistance) Act 1999</u><sup>6</sup>
- A New Tax System (Family Assistance) (Administration) Act 1999
- Australian Education Act 2013<sup>8</sup>
- Child Care Act 19729
- Education Services for Overseas Students Act 2000<sup>10</sup>
- Higher Education Support Act 2003<sup>11</sup>
- Social Security (Administration) Act 1999<sup>12</sup> (insofar as it relates to participation and activity test requirements for participation payment recipients)
- Student Loans (Overseas Debtors Repayment Levy) Act 2015<sup>13</sup> (insofar as it relates to trade support loans)
- Trade Support Loans Act 2014<sup>14</sup>
- VET Student Loans Act 2016<sup>15</sup>.

Through our Higher Education Information Management System (HEIMS), HELP IT System (HITS), and the Tertiary Collection of Student Information (TCSI) System, we also collect personal information for the Tertiary Education Quality and Standards Agency (TEQSA). We provide this information back to TEQSA for it to use for the purposes of administering the *Tertiary Education* Quality and Standards Act 2011<sup>16</sup>.

We will only collect information for a lawful purpose that is reasonably necessary or directly related to one or more of our functions and activities, or where otherwise required or authorised by law. For example, the department may also collect, use and disclose, personal

<sup>&</sup>lt;sup>6</sup> www.comlaw.gov.au/Series/C2004A00490

<sup>&</sup>lt;sup>7</sup> www.comlaw.gov.au/Series/C2004A00491

<sup>8</sup> www.comlaw.gov.au/Series/C2013A00067

<sup>9</sup> www.comlaw.gov.au/Series/C1972A00121

<sup>10</sup> www.comlaw.gov.au/Series/C2004A00757

<sup>11</sup> www.comlaw.gov.au/Series/C2004A01234

<sup>&</sup>lt;sup>12</sup> www.legislation.gov.au/Series/C2004A00580

<sup>&</sup>lt;sup>13</sup> www.legislation.gov.au/Series/C2015A00155

<sup>&</sup>lt;sup>14</sup> www.legislation.gov.au/Series/C2014A00081

<sup>15</sup> www.legislation.gov.au/Series/C2016A00098

<sup>&</sup>lt;sup>16</sup> www.comlaw.gov.au/Series/C2011A00073

information, including sensitive information, for an 'integrity purpose' under the <u>Crimes Act</u> 1914<sup>17</sup>, such as the detection or investigation of misconduct or fraud.<sup>18</sup>

When we collect personal information, we are required under the APPs to notify you of a number of matters. These include the purposes for which we collect the information, whether the collection is required or authorised by law, and any person or body to whom we usually disclose the information, including if those persons or bodies are located overseas. We usually provide this notification by including privacy notices on our paper based forms and online portals.

## 2.2. Types of personal information collected by us

We collect and hold a broad range of personal information in records relating to:

- employment and personnel matters for our staff and contractors (including security assessments);
- performance of our legislative and administrative functions;
- individuals participating in our funded programs and initiatives;
- management of contracts and funding agreements;
- management of fraud and compliance investigations;
- management of audits (both internal and external);
- correspondence from members of the public to us and our Ministers and Parliamentary
   Secretaries, or correspondence otherwise referred to us by other departments or Ministers;
- complaints (including privacy complaints) made and feedback provided to us;
- requests made to us under the <u>Freedom of Information Act 1982</u><sup>19</sup> (Cth) (FOI Act) or the
   Privacy Act; and
- the provision of legal advice by internal and external lawyers.

This personal information may include but is not limited to:

- your name, address and contact details (e.g. phone, email and fax);
- photographs, video recordings and audio recordings of you;
- information about your personal circumstances (e.g. marital status, age, gender, occupation, accommodation and relevant information about your partner or children);

<sup>&</sup>lt;sup>17</sup> www.legislation.gov.au/Series/C1914A00012

<sup>&</sup>lt;sup>18</sup> See subsection 3(1) of the *Crimes Act 1914* for complete definition of 'integrity purpose'.

<sup>&</sup>lt;sup>19</sup> www.comlaw.gov.au/Series/C2004A02562

- information about your financial affairs (e.g. payment details, bank account details and information about business and financial interests);
- information about your identity (e.g. date of birth, country of birth, passport details, visa details, drivers licence);
- information about your employment (e.g. work history, referee comments, remuneration);
- information about your background (e.g. educational qualifications, the languages you speak and your English proficiency);
- government identifiers (e.g. Centrelink Reference Number, Tax File Number or Unique Student Identifier);
- information about assistance provided to you under our funding arrangements; and
- information about entitlements under Australian Government legislation.

## 2.3. Tax File Numbers

## 2.3.1. Purpose of collection

A tax file number (TFN) is a unique identifier issued by the Commissioner of Taxation. The department may collect TFNs for the following purposes:

- to administer the programs we manage, including but not limited to the Higher Education Loan Programs, the New Enterprise Incentive Scheme, Trade Support Loans and VET Student Loans;
- to make payments of salaries and wages to eligible employees and contractors; and
- to administer child care financial assistance payments.

The department's collection of TFNs is authorised under the *Income Tax Assessment Act 1936* and the *Taxation Administration Act 1953*. You are not legally obliged to provide your TFN, but there may be financial consequences if you choose not to do so.

#### 2.3.2. Prohibitions and penalties

Certain Commonwealth legislation prohibits the collection, recording, use and disclosure of TFN information. Relevantly:

- **A.** The *Privacy (Tax File Number) Rule 2015 (TFN Rule) and the Taxation Administration Act 1953* (Cth) (TAA) contain prohibitions on:
  - (i). requiring, requesting or collecting TFN information for unauthorised purposes (TFN subrule 8(1) and subsection 8WA(1) of the TAA).

(ii). recording, using or disclosing TFN information unless permitted under taxation, personal assistance or superannuation law (TFN Rules 9 and 10; and subsection 8WB(1) of the TAA).

A breach of the TFN Rule is an interference with privacy under the Privacy Act. Individuals who consider that their TFN information has been mishandled may make a complaint to the Australian Information Commissioner. Where the breach of privacy is considered serious, the Australian Information Commissioner may seek a civil penalty.

A breach of either sections 8WA and 8WB of the TAA is punishable by a fine of 100 penalty units or 2 years imprisonment or both. *NOTE: Effective from 1 July 2017, 1 penalty unit is equal to \$210. This unit value will automatically increase in line with the consumer price index from 1 July 2020 and every three years after.* 

- B. The A New Tax System (Family Assistance) (Administration) Act 1999 (the Family Assistance Administration Act) and Child Care Act 1972 (the Child Care Act) and the Social Security (Administration) Act 1999 (the Social Security Administration Act) are both personal assistance laws within the definition contained in TFN subrule 6(2). These Acts contain prohibitions on the making of a record, disclosure, use, solicitation or supply of information that is protected information which includes TFNs (sections 163 167 of the Family Assistance Administration Act; sections 12K, 12L, 12M, 12Q, 12R and 12S of the Child Care Act and sections 203; 204, 205 and 206 of the Social Security Administration Act). These offences are punishable by two years' imprisonment. There are exceptions permitting the collection, use or disclosure of protected information in limited circumstances, as outlined under Part 6 of the Family Assistance Administration Act, Part IIIA of the Child Care Act and Part 5, Division 3 of the Social Security Administration Act.
- **C.** The *Higher Education Support Act 2003* (HESA) is a taxation law within the definition contained in TFN subrule 6(2). In collecting, recording, using and disclosing tax file numbers under HESA the department, the Australian Taxation Office, higher education providers must not contravene sections 8WA and 8WB of the TAA.

#### 2.3.3. Further information

If you would like further information about protections surrounding tax file numbers you may wish to consult:

- The Office of the Australian Information Commissioner's 20 website.
- The TFN Rule which regulates the collection, storage, use, disclosure, security and disposal of TFNs. The TFN Rule is available on the <u>Federal Register of Legislation<sup>21</sup></u> website.
- Annex A of this Privacy Policy, which contains a table detailing prohibitions and penalties.

## 2.4. Collection of sensitive information

In carrying out our functions and activities we may collect personal information that is *sensitive information* (see section 1.4 of this privacy policy). The APPs impose additional obligations on us when collecting, using or disclosing sensitive information. We may only collect sensitive information from you:

- where you provide your consent; or
- where required or authorised by law; or
- where a permitted general situation exists such as to prevent a serious threat to safety.<sup>22</sup>

We also collect sensitive information where authorised to do so, for the purposes of human resource management, detection and investigation of fraud or other misconduct, taking appropriate action against suspected unlawful activity or serious misconduct, and responding to inquiries by courts, tribunals and other external review bodies.

# 2.5. Collecting personal information from children and young people

In carrying out our functions and activities we may collect personal information about children and young people, either directly from them, through their parents or guardians, or from their education or child care providers. Where children and young people are aged 15 or over, our general policy is to collect information directly from them as they are likely to have the capacity to understand any privacy notices provided to them and to give informed consent to the collection. For children under the age of 15, or where capacity to provide consent is at issue, our policy is to notify and seek the consent of a parent or guardian.

<sup>&</sup>lt;sup>20</sup> www.oaic.gov.au/privacy-law/privacy-act/tax-file-numbers

<sup>&</sup>lt;sup>21</sup> www.legislation.gov.au/Series/F2015L00249

<sup>&</sup>lt;sup>22</sup> Permitted general situations are set out in <u>Section 16A of the Privacy Act</u>. (www.legislation.gov.au/Details/C2019C00025/Html/Text# Toc534973664)

Also, see APP Guidelines – Chapter C for further information on the range of 'permitted general situations'.

### 2.6. Collection of unsolicited information

Sometimes personal information is not sought by us but is delivered or sent to us by either the individual or a third party without us having requested it. This information is considered 'unsolicited'.

Where unsolicited information is received by us, we will, within a reasonable period, determine whether that information is directly related to one or more of our functions or activities. If this cannot be determined, we may, as soon as practicable and in accordance with the Archives Act 1983<sup>23</sup> (Archives Act) and the Privacy Act, destroy or de-identify the information. If this can be determined we will notify you of the purpose of collection and our intended uses and disclosures according to the requirements of the APPs, unless it is impracticable or unreasonable for us to do so.

#### 2.7. How we collect personal information

We collect your personal information through a variety of channels, which may include forms or notices, online portals, social media websites and accounts, electronic or paper correspondence and from data sharing, matching or linkage arrangements with other Australian Government and state and territory agencies, or from other third parties.

We may also collect your personal information if you:

- communicate with us by telephone, mail, email, fax or SMS;
- attend a face to face meeting or event conducted by us or by people or organisations acting on our behalf (e.g. contracted service providers);
- use our websites;
- participate in a survey administered by us; or
- interact with us on our social media platforms.

We also monitor news and media, including social media, in the public domain.

By signing paper documents or agreeing to the terms and conditions and disclaimers for electronic documents you are consenting to the collection of any personal information you provide to us.

For further information on what information we collect online see section 2.13 of this privacy policy.

<sup>&</sup>lt;sup>23</sup> www.legislation.gov.au/Series/C2004A02796

## 2.8. Remaining anonymous or using a pseudonym

You may wish not to identify yourself or to use a different name (pseudonym) when interacting with us.

In some cases, you will be able to remain anonymous or use a pseudonym, however, there will be occasions where it will be impractical for you to remain anonymous or use a pseudonym and we will advise you accordingly. For example, the department may be unable to investigate and resolve a complaint you have if you do not identify yourself.

There may also be situations where the department is required or authorised by law to deal only with an identified individual, in which case it may be necessary for you to identify yourself. For example, it would be difficult for the department to give you access to your personal information under the Privacy Act or other legislation such as the FOI Act if you did not provide enough identification to satisfy the department that the relevant personal information was related to you.

## 2.9. Information collected by our contractors

Under the Privacy Act, we are required to take contractual measures to ensure that contracted service providers (including subcontractors) comply with the same privacy requirements applicable to us. When the department enters into agreements with contracted service providers, it imposes contractual obligations on providers to ensure they comply with relevant privacy obligations when collecting, using, disclosing and holding personal information relating to the department's programs.

## 2.10. Storage and data security

### 2.10.1. Storage

We store personal information in a range of paper-based and electronic records, including records that may be stored in the cloud.

Storage of personal information (and the disposal of information when no longer required) is managed in accordance with the Australian Government's records management regime, including the Archives Act, records authorities, general disposal authorities and other whole of government policies or standards issued by the National Archives of Australia.

### 2.10.2. Data security

We take all reasonable steps to protect the personal information held in our possession against loss, unauthorised access, use, modification, disclosure or misuse.

Access to your personal information held by us is restricted to authorised persons who are departmental employees or contractors, on a need to know basis.

Electronic and paper records containing personal information are protected in accordance with Australian Government security policies, including the Attorney-General's Department's Protective Security Policy Framework<sup>24</sup> and the Australian Signals Directorate's Information Security Manual<sup>25</sup>.

We conduct regular audits to ensure we adhere to these policies.

## 2.11. Data quality

We take all reasonable steps to ensure that the personal information we collect is accurate, up-to-date, complete, relevant and not misleading.

These steps include responding to requests to correct personal information when it is reasonable and appropriate to do so. For further information on correcting personal information see section 3 of this privacy policy.

Audits and quality inspections are also conducted from time to time to ensure the accuracy and integrity of information, and any systemic data quality issues are identified and resolved promptly.

## 2.12. Purposes for which information is collected, held, used and disclosed

We collect, hold, use and disclose personal information for a variety of different purposes including:

- performing our management, employment and personnel functions in relation to our staff and contractors;
- performing our legislative and administrative functions;
- policy development, research and evaluation;
- data sharing or data integration with other Australian Government agencies, including but not limited to, data sharing or data integration with the Australian Bureau of Statistics for the Multi-Agency Data Integration Project and the Data Integration Partnership for Australia;
- complaints handling;
- administering requests received by us under the FOI Act or the Privacy Act;
- preventing, detecting, investigating or dealing with fraud, corruption or cyber-attacks against the Commonwealth;

<sup>&</sup>lt;sup>24</sup> www.protectivesecurity.gov.au/Pages/default.aspx

<sup>&</sup>lt;sup>25</sup> www.cyber.gov.au/ism

- program management;
- maintaining effective working relationships with state and territory governments, nongovernment education authorities and providers, universities and other relevant stakeholders;
- policy advice and support to our Ministers;
- contract management; and
- management of correspondence with the public.

We use and disclose personal information for the primary purposes for which it is collected.

We will only use your personal information for secondary purposes where we are able to do so in accordance with the Privacy Act. This may include where you have consented to this secondary purpose, or where the secondary purpose is related (or if sensitive information, directly related) to the primary purpose and you would reasonably expect us to use or disclose the information for the secondary purpose, where it is required or authorised by law or where a permitted general situation exists such as to prevent a serious threat to safety.

Likely secondary purposes for which we may use or disclose your personal information include but are not limited to: quality assurance, auditing, reporting, research, evaluation and analysis, investigations of fraud or misconduct, data sharing, data integration, data matching and promotional purposes.

#### 2.13. Our website

#### 2.13.1. Passive collection

Your information—including personal information—is collected by a variety of software applications, services and platforms used by your device and by the department to support it to deliver services.

This type of information collection is 'passive' as the department is not collecting this information directly and it does not directly relate to the department's provision of services. Your consent for your information to be collected and shared in this way is typically obtained at the time you first use an application or service on your device.

You can opt out of some of these passive data collections, including by:

- Disabling / refusing cookies;
- Disabling JavaScript;
- Opting-out of Google Analytics<sup>26</sup>; and

<sup>&</sup>lt;sup>26</sup> https://tools.google.com/dlpage/gaoptout

Disabling location services on your device.

Additional advice regarding how to protect yourself online can be found at Stay Smart Online<sup>27</sup>.

#### 2.13.2. Active collection

The department directly collects some of your information—including personal information via its website. Generally, this information is collected to enable the department to properly and efficiently carry out its functions and deliver services to you.

No attempt is made to identify you through your browsing other than in exceptional circumstances, such as an investigation into the improper use of the website.

Information may be collected by:	Type of information:	Information collected to:
Internet browser	Your browser type	Measure the effectiveness
Cookies	Your browser language	of our content  Better tailor our content to
Google Analytics	Your server address	our audience
Social media	Your location (where location services	
platforms	are enabled on your device)	
Qualtrics	Your top level domain name (e.g. '.com', '.gov', '.au', '.uk')	
	Date and time you accessed a page on our site	
	Pages accessed and documents	
	viewed on our site	
	How our website was accessed (e.g.	
	from a search engine, link or	
	advertisement)	
the department	Name	Deliver services to you
	Email address	Contact you
	Phone number	Identify you

<sup>&</sup>lt;sup>27</sup> http://www.staysmartonline.gov.au/

Information may be collected by:	Type of information:	Information collected to:
	Education history  Employment history	Subscribe you to a service or update you have requested
		Evaluate our programs
		Inform policy development

## 2.13.3. Links to External Websites and Social Networking Services

Our website includes links to other websites. We are not responsible for the content and privacy practices of other websites. We recommend that you examine each website's privacy policy separately.

We also use social networking services such as Facebook, Twitter, Google+, YouTube, Instagram and Yammer to talk with the public and our staff. When you talk with us using these services we may collect your personal information to communicate with you and the public.

The social networking service will also handle your personal information for its own purposes. These services have their own privacy policies. You can access the privacy policies for these services on their websites.

#### 2.13.4. Electronic communication

There are inherent risks associated with the transmission of information over the internet, including via email. You should be aware of this when sending personal information to us via email or via our website or social media platforms. If this is of concern to you then you may use other methods of communication with us, such as post, fax or telephone (although these also have risks associated with them).

## 2.14. Disclosure of personal information to other Commonwealth agencies providing services to the department

We may disclose your personal information to other Commonwealth agencies that provide services to the department, in particular the Service Delivery Office within the Department of Finance (Finance), which provides a range of corporate services to the department. For more information, please refer to the Service Delivery Office<sup>28</sup>.

## 2.15. Disclosure of personal information to Services Australia

Services Australia performs a range of ICT and administrative services for us, including the management of the Child Care Subsidy System and some parts of the TCSI system. We may

<sup>28</sup> www.sdo.gov.au/

disclose your personal information to Services Australia in connection with Services Australia's delivery of these ICT related services.

If you are an employee, contractor or consultant of the department; we or Finance, may disclose your personal information to Services Australia for the purposes of managing authorised access to the Child Care Subsidy System.

## 2.16. Disclosure of personal information overseas

We will, on occasion, disclose personal information to overseas recipients. The situations in which we may disclose personal information overseas include:

- the publication on the internet of material which may contain personal information, such as departmental reports and other documents; photographs, video recordings and audio recordings; and posts and comments on our social media platforms;
- the provision of personal information to overseas researchers or consultants (where consent has been given for this or we are otherwise legally able to provide this information);
- the provision of personal information to recipients using a web-based service where data is stored on an overseas server, for example, the department may use Mailchimp for email subscriptions and SurveyMonkey for online surveys (see below for further detail on these services);
- the provision of personal information to foreign governments and law enforcement agencies (in limited circumstances and where authorised by law);
- where recipients of departmental communications use an email account that stores data on an overseas server; and
- where people post and comment on our social media platforms.

We will not disclose your personal information to an overseas recipient unless one of the following applies:

- the recipient is subject to a law or binding scheme substantially similar to the APPs, including mechanisms for enforcement;
- you consent to the disclosure after being expressly informed that we will not be taking reasonable steps to ensure that the overseas recipient does not breach the APPs;

- a permitted general situations exists (e.g. to lessen or prevent a serious threat to life, health or safety) <sup>29</sup>;
- disclosure is required or authorised by law, or by an international agreement relating to information sharing to which Australia is a party; or
- the disclosure is reasonably necessary for an enforcement related activity conducted by, or on behalf of, an enforcement body and the recipient performs similar functions.

It is not practicable to list every country to which we may provide personal information as this will vary depending on the circumstances.

## 2.16.1. Mailchimp

To provide our news or information the department may use Mailchimp. Mailchimp provides online platforms that can be used to create, send, and manage emails. In providing this service, Mailchimp may collect personal information, such as distribution lists which contain email addresses, and other information relating to those email addresses. For further information about the type of personal information Mailchimp collects, please refer to Mailchimp's Privacy Policy<sup>30</sup>.

We may use this information to manage emails relating to the work of the department, measure email news performance and to improve the features of our website and email news service. Mailchimp may transfer this information to third parties where required to do so by law, or where such third parties process the information on Mailchimp's behalf. Mailchimp uses cookies, Web Beacons and Flash player code to collect information about when you visit the website, when you use the services, your browser type and version, your operating system, and other similar information.

Mailchimp is based in the United States of America (USA) and the information generated by cookies about your use of the website (including your IP address) will be transmitted to and stored by Mailchimp on servers located outside Australia.

You can opt out of our mailing list if you choose the 'unsubscribe' service provided by Mailchimp in every email, or contact the department. You can also disable or refuse cookies or disable Flash player; however, you may not be able to use the services provided by Mailchimp if cookies are disabled. Should you wish to contact Mailchimp, you can find contact details on the <a href="Contact Mailchimp">Contact Mailchimp</a><sup>31</sup> page.

If you do not unsubscribe or contact the department to opt out of the mailing list you:

Department of Education, Skills and Employment - Complete Privacy Policy | 20

<sup>&</sup>lt;sup>29</sup> Permitted general situations are set out in <u>Section 16A of the Privacy Act</u>. (<u>www.legislation.gov.au/Details/C2019C00025/Html/Text#\_Toc53</u>4973664)

<sup>30</sup> www.mailchimp.com/legal/privacy

<sup>31</sup> www.mailchimp.com/contact

- consent to your personal information being collected, used, disclosed and stored as set out
   in Mailchimp's Privacy Policy<sup>32</sup> and agree to abide by Mailchimp's Terms of Use<sup>33</sup>;
- understand and acknowledge that this service utilises a Mailchimp platform which is located
  in the USA and relevant legislation of the USA will apply. This means you will need to seek
  redress under the laws of the USA for any privacy breaches by Mailchimp; and
- understand and acknowledge that Mailchimp is not subject to the Commonwealth Privacy
  Act and the department will not have an obligation to take reasonable steps to ensure that
  Mailchimp does not breach the APPs in relation to personal information that is given to
  Mailchimp.

## 2.16.2. SurveyMonkey

We use SurveyMonkey to survey respondents voluntarily about a range of matters relevant to our work. Wherever possible, we will not seek your personal information as part of our surveys, but sometimes this is necessary. Further, in providing this service, SurveyMonkey may collect personal information. For further information about the type of personal information SurveyMonkey collects, please refer to SurveyMonkey's Privacy Policy<sup>34</sup>.

The department will only use this information if you choose to respond to our invitation to participate in a survey, and for the purpose of receiving and analysing your answers.

SurveyMonkey is based in the USA and the EU and the information generated by cookies about your use of the website (including your IP address) will be transmitted to and stored by SurveyMonkey on servers located outside Australia.

If you choose to respond to one of our surveys you:

- consent to your personal information being collected, used, disclosed and stored as set out
  in <u>SurveyMonkey's Privacy Policy</u><sup>35</sup> and agree to abide by <u>SurveyMonkey's Terms of Use</u><sup>36</sup>;
- understand and acknowledge that this service utilises a SurveyMonkey platform, which is
  located in the USA and the EU, and relevant legislation of those countries will apply. This
  means you will need to seek redress under the laws of the USA or the EU for any privacy
  breaches by SurveyMonkey; and

<sup>32</sup> www.mailchimp.com/legal/privacy

<sup>33</sup> www.mailchimp.com/legal/terms/

<sup>34 &</sup>lt;u>www.surveymonkey.com/mp/legal/privacy-policy/</u>

<sup>35</sup> www.surveymonkey.com/mp/legal/privacy-policy/

<sup>&</sup>lt;sup>36</sup> www.surveymonkey.com/mp/legal/terms-of-use/

you understand and acknowledge that SurveyMonkey is not subject to the Commonwealth
Privacy Act, and the department will not have an obligation to take reasonable steps to
ensure that SurveyMonkey does not breach the APPs in relation to personal information
that is given to SurveyMonkey.

# 2.17. Unauthorised access, use or disclosure of personal information

We will take seriously and deal promptly with any unauthorised access, use or disclosure of personal information.

The Notifiable Data Breaches (NDB) scheme in Part IIIC of the Privacy Act, which commenced on 22 February 2018, generally requires agencies and organisations to notify individuals whose personal information is involved in a data breach that is likely to result in serious harm to those individuals. These entities are also required to notify the Office of the Australian Information Commissioner. We comply with the NDB scheme when dealing with these types of data breaches.

The department also has regard to relevant guidance material issued by the Office of the Australian Information Commissioner, including the '<u>Data breach preparation and response</u> — <u>A guide to managing data breaches in accordance with the Privacy Act 1988 (Cth)</u><sup>37</sup>', when responding to any incidents involving the unauthorised access of, use or disclosure of personal information.

## 3. Accessing and Correcting Your Personal Information

## 3.1. How to seek access to and correction of personal information

You have a right under the Privacy Act to access personal information we hold about you.

You also have a right under the Privacy Act to request corrections of any personal information that we hold about you if you think the information is inaccurate, out-of-date, incomplete, irrelevant or misleading.

To access or seek correction of personal information we hold about you, please contact us using the contact details set out at section 6.1 of this privacy policy.

## **3.2.** Our access and correction process

If you request access to or correction of your personal information, we must respond to you within 30 calendar days.

<sup>&</sup>lt;sup>37</sup> www.oaic.gov.au/agencies-and-organisations/guides/data-breach-preparation-and-response

While the Privacy Act requires that we give you access to or correct your personal information on request, it does set out circumstances in which we may refuse you access or decline to correct your personal information.

If we refuse to give you access or decline to correct your personal information we will provide you with a written notice which, among other things, gives our reasons for refusing your request.

It is also possible to access and correct documents held by us under the FOI Act. For information on this, please contact our FOI Coordinator (contact details are available on the <u>Freedom of Information</u><sup>38</sup> page of our website).

For further information on requesting access to or correction of your personal information please read our <u>Guide to Accessing and Correcting Personal Information</u><sup>39</sup> document on our website.

## 3.3. If you are unsatisfied with our response

If you are unsatisfied with our response, you may make a complaint, either directly to us (see section 5 below), or you may wish to contact:

- the Office of the Australian Information Commissioner at <a href="mailto:enquiries@oaic.gov.au">enquiries@oaic.gov.au</a> or telephone 1300 363 992; or
- the Commonwealth Ombudsman by lodging a <u>Complaint Form</u><sup>40</sup> online or telephone 1300 362 072.

## 4. Privacy Impact Assessments

## 4.1. What is a Privacy Impact Assessment

A privacy impact assessment (PIA) is a systematic assessment of a project that identifies the impact that the project might have on the privacy of individuals, and sets out recommendations for managing, minimising or eliminating that impact.

## 4.2. When we conduct Privacy Impact Assessments

The <u>Privacy (Australian Government Agencies — Governance) APP Code 2017<sup>41</sup></u> (Privacy Code) requires us to undertake a PIA in certain instances and to maintain a register of those PIAs

<sup>&</sup>lt;sup>38</sup> https://www.dese.gov.au/about-us/corporate-reporting/freedom-information-foi/foi-disclosure-log/how-make-freedom-information-foi-request

<sup>&</sup>lt;sup>39</sup> https://www.dese.gov.au/uncategorised/resources/department-education-guide-accessing-and-correcting-personal-information

 $<sup>{\</sup>color{red}^{40}} \, \underline{www.forms.business.gov.au/smartforms/servlet/SmartForm.html?formCode=oco-complaint-form}$ 

<sup>41</sup> www.legislation.gov.au/Series/F2017L01396

from 1 July 2018. In accordance with the Privacy Code, we publish a version of our <u>PIA</u> register<sup>42</sup> on our website.

## 5. Complaints

## 5.1. How to make a complaint

If you think we may have breached your privacy you may contact us to make a complaint using the contact details set out at section 6.1 of this privacy policy. In order to ensure that we fully understand the nature of your complaint and the outcome you are seeking, we prefer that you make your complaint in writing.

Please be aware that it may be difficult to investigate or respond to your complaint if you provide insufficient detail. You may submit an anonymous complaint, however if you do it may not be possible for us to provide a response to you.

## 5.2. Our complaint handling process

We are committed to quick and fair resolution of complaints and will ensure your complaint is taken seriously and investigated appropriately. You will not be victimised or suffer negative treatment if you make a complaint.

For further information about our complaint handling process please read our <u>Privacy</u> <u>Complaint Handling Procedures</u><sup>43</sup> document on our website.

## 5.3. If you are unsatisfied with our response

If you are not satisfied with the way we have handled your complaint in the first instance, you may contact the Office of the Australian Information Commissioner to refer your complaint for further investigation. Please note that the Information Commissioner may not investigate if you have not first brought your complaint to our attention.

#### Office of the Australian Information Commissioner

Telephone: 1300 363 992

Email: enquiries@oaic.gov.au

Post: GPO Box 5218

Sydney NSW 2001

<sup>42 &</sup>lt;a href="https://www.dese.gov.au/using-site/privacy">https://www.dese.gov.au/using-site/privacy</a>

<sup>43</sup> https://www.dese.gov.au/about-us/resources/dese-privacy-complaint-handling-procedures

### 6. Contact Us

# **6.1.** General enquiries, complaints, requests for access or correction

If you wish to:

- query how your personal information is collected, held, used or disclosed by us;
- ask us questions about this privacy policy;
- request access to or seek correction of your personal information; or
- make a privacy complaint;

please contact us:

#### By mail:

Privacy Officer
Legal Services
Department of Education, Skills and Employment
LOC: C50MA10
GPO Box 9880
Canberra ACT 2601

#### By email:

privacy@dese.gov.au

## By telephone:

1300 566 046 (please note this is our main number)

## 6.2. Availability of this privacy policy

If you wish to access this privacy policy in an alternative format (e.g. hard copy) please contact us using the contact details set out at section 6.1 above. This privacy policy will be made available free of charge.

## 7. Privacy Policy Updates

This privacy policy will be reviewed at least annually and updated as required.

Date policy last updated: February 2021

# Annex A: Prohibitions and penalties relating to the collection, recording, use and disclosure of Tax File Numbers

Legislation	Prohibitions	Exception	Penalty
Subrule 8(1) of the Privacy (Tax File Number) Rule 2015	Unless an exception applies, a TFN recipient must not request or collect TFN information from individuals and TFN recipients.	The request or collection is authorised by:  a. taxation law; or b. personal assistance law; or c. superannuation law.  Note: These terms are defined in the Privacy (Tax File Number) Rule 2015.	A breach of this TFN Rule is an interference with privacy under the Privacy Act. A person who considers that their TFN information has been mishandled may make a complaint to the Australian Information Commissioner. Where the breach of privacy is very serious, the Australian Information Commissioner may seek a civil penalty.  The same act may also constitute an offence under subsections 8WA(1) or 8WB(1) of the <i>Taxation Administration Act 1953</i> .

Legislation	Prohibitions	Exception	Penalty
Rule 9 of the <i>Privacy (Tax File Number) Rule 2015</i>	A TFN recipient must not use or disclose a TFN or record of a TFN in that way that is inconsistent with the <i>Taxation Administration Act 1953</i> or <i>Privacy (Tax File Number) Rule 2015</i> .	The use or disclosure is permitted by the <i>Taxation Administration Act</i> 1953 or <i>Privacy (Tax File Number)</i> Rule 2015.	As above
Rule 10 of the <i>Privacy (Tax File Number) Rule 2015</i>	Unless an exception applies, a TFN recipient must not use or disclose TFN information (including for matching personal information about individuals).	The TFN information is used or disclosed by TFN recipients:  a. for a purpose authorised by taxation law, personal assistance law or superannuation law, or b. for the purpose of giving an individual any TFN information that the TFN recipient holds about that individual.	As above

Legislation	Prohibitions	Exception	Penalty
Subsection 8WA(1) of the	Unless an exception applies, a	To the extent required or permitted	100 penalty units or 2 years
Taxation Administration Act	person must not require or	by, or reasonably necessary in order	imprisonment or both.
1953	request another person to	to comply with, or in connection	
	quote the other person's tax	with exercising powers under, a	
	file number.	taxation law, a law of the	
		Commonwealth of a kind referred	
		to in subsection 8WA(1AA) of the	
		Taxation Administration Act 1953 or	
		paragraph 8WA(1AA)(c) of the	
		Taxation Administration Act 1953.	

Legislation	Prohibitions	Exception	Penalty
Subsection 8WB(1) of the Taxation Administration Act 1953	Unless an exception applies, a person must not:  a. record or maintain a record of a another person's tax file number; or  b. use another person's tax file number in a manner connecting it with the other person's identity; or  divulge or communicate another person's tax file number to a third person.	To the extent required or permitted by, or reasonably necessary in order to comply with, or in connection with exercising powers under, a taxation law, a law of the Commonwealth of a kind referred to in subsection 8WB(1A) of the Taxation Administration Act 1953 or paragraph 8WB(1A)(c) of the Taxation Administration Act 1953.	100 penalty units or 2 years imprisonment or both.

Section 163 (Offence:	Unless an exception applies, a	To the extent authorised by sections	Imprisonment for a term not
unauthorised access to	person must not intentionally	162, 168, 169 and 169A of the	exceeding 2 years.
protected information) of A	obtain information that the	Family Assistance Administration	
New Tax System (Family	person knows or ought	Act, where a person may obtain,	
Assistance) (Administration) Act	reasonably to know is	make a record of or disclose	
1999 (the Family Assistance	protected information.	protected information for particular	
Administration Act)		purposes or circumstances referred	
	Note: Protected information is	in those provisions.	
	defined in section 3 of the		
	Family Assistance	Note:	
	Administration Act, which		
	includes TFN information	Section 162 relates to the	
	obtained under the family	authorised collection, use or	
	assistance law.	disclosure of protected information.	
		Section 168 relates to the	
		Secretary's disclosure of	
		information when necessary in the	
		public interest to particular persons	
		in certain cases, which must be in	
		accordance with the Family	
		Assistance (Public Interest	
		Certificate Guidelines)	
		Determination 2015, as made under	
		section 169. Section 168 also allows	
		the Secretary to disclose protected	
		information to the head of another	

Legislation	Prohibitions	Exception	Penalty
		Commonwealth Department or authority of the Commonwealth, or where the relevant individual has	
		authorised (consented to) the disclosure.	
		Section 169A relates to the	
		Secretary's disclosure for purposes	
		of the administration of the child	
		care tax offset provided by	
		Subdivision 61-IA of the <i>Income Tax</i>	
		Assessment Act 1997.	
Section 164 (Offence:	Unless an exception applies, a	To the extent authorised or	Imprisonment for a term not
unauthorised use of protected	person must not intentionally	required under the family assistance	exceeding 2 years.
information) of A New Tax	make a record of, disclose or	law, the <i>Social Security Act 1991</i> , or	
System (Family Assistance)	otherwise make use of	the Social Security (Administration)	
(Administration) Act 1999	information that the person	Act 1999; or to the extent	
	knows or ought reasonably to	authorised by sections 162, 168,	
	know is protected information.	169 and 169A of the <i>Family</i>	
		Assistance Administration Act,	
		where a person may obtain, make a	
		record of or disclose protected	
		information for particular purposes	
		or circumstances referred in those	
		provisions.	

Legislation	Prohibitions	Exception	Penalty
Section 165 (Offence: soliciting	Unless an exception applies, a	To the extent authorised by sections	Imprisonment for a term not
disclosure of protected	person must not solicit the	162, 168, 169 and 169A of the	exceeding 2 years (whether or not
information) of A New Tax	disclosure of protected	Family Assistance Administration	any protected information is
System (Family Assistance)	information from an officer (or	Act, where a person may obtain,	actually disclosed).
(Administration) Act 1999	another person), where the	make a record of or disclose	
	person knows or ought	protected information for particular	
	reasonably to know that the	purposes or circumstances referred	
	information is protected	in those provisions.	
	information.		
Section 166 (Offence: offering	Unless an exception applies, a	To the extent authorised by sections	Imprisonment for 2 years.
to supply protected	person must not offer to	162, 168, 169 and 169A of the	
information) of A New Tax	supply (whether to a particular	Family Assistance Administration	
System (Family Assistance)	person or otherwise) or hold	Act, where a person may obtain,	
(Administration) Act 1999	themselves as being able to	make a record of or disclose	
	supply information about	protected information for particular	
	another, knowing that the	purposes or circumstances referred	
	information is protected	in those provisions. For example, an	
	information.	officer acting in the performance or	
		exercise of his or her powers, duties	
		or functions under the family	
		assistance law.	

Legislation	Prohibitions	Exception	Penalty
Section 167 (Protection of	Unless an exception applies,	The production or disclosure of	No specific penalty is attached to
certain documents etc. from	an officer must not be	information is for the purposes of	this provision, but a person who
production to court etc.) of A	required to produce any	the family assistance law; or to the	discloses information in breach of
New Tax System (Family	document in their possession	extent authorised by sections 162,	this provision where no exception
Assistance) (Administration) Act	or to disclose matter of which	168, 169 and 169A of the Family	allowing disclosure is applicable,
1999	they had notice of to a court,	Assistance Administration Act,	may breach section 164 of the
	tribunal, authority or person	where a person may obtain, make a	Family Assistance Administration
	having power to require the	record of or disclose protected	Act and may be subject to
	production of documents or	information for particular purposes	imprisonment for a term not
	the answering of questions.	or circumstances referred in those	exceeding 2 years.
		provisions.	